

Docket No. 211559US2SRDDIV/shb

APR 17 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION:

Toshiaki WATANABE, et al.

SERIAL NO. 20,936

GAU:

2663

FILED: August 3, 2001

EXAMINER:

FOR: INFORMATION TRANSMISSION METHOD AND ENCODING/DECODING APPARATUS AND ENCODING  
MULTIPLEXING/DECODING-DEMULTIPLEXING APPARATUS IN INFORMATION TRANSMISSION SYSTEM TO  
WHICH INFORMATION TRANSMISSION METHOD IS APPLIED

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## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 211559US2SRDDIV		SERIAL NO. 09/920,936	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Toshiaki WATANABE, et al.			
				FILING DATE August 3, 2001		GROUP 2663	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,162,923	11/10/92	T. YOSHIDA, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	04-239839	08/27/92	JAPAN (with English Abstract)			X
	AM	04-245833	1992	JAPAN (submitting corr. EP 0 497 452 only)			
	AN	0 497 452	08/05/92	EUROPE			
	AO	01-213067	1989	JAPAN (submitting corr. US 5,162,923 only)			
	AP	07-508380	1995	JAPAN (submitting corr. WO 94/00952 only)			
	AQ	WO 94/00952	01/06/94	WIPO			
	AR	07-162801	06/23/95	JAPAN (with English Abstract)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AS	Patent Abstracts of Japan, JP 08-162979, June 21, 1996					
	AT	Patent Abstracts of Japan, JP 57-115043, July 17, 1982					
	AU	Patent Abstracts of Japan, JP 02-062176, March 2, 1990					
	AV	Patent Abstracts of Japan, JP 07-143480, June 2, 1995					
	AW	Patent Abstracts of Japan, JP 02-206292, August 16, 1990					
	AX	Patent Abstracts of Japan, JP 06-086251, March 25, 1994					
	AY	Patent Abstracts of Japan, JP 06-215492, August 5, 1994					
	AZ	Patent Abstracts of Japan, JP 06-205384, July 22, 1994					
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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